

Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use cover sheet if necessary)

ATTY DOCKET NO.  
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Rule 1.53(b) Div of SN 09/700,132 filed November 9.

APPLICANT  
Shin-Itsu TAKEHASHI et al.

FILING DATE  
June 30, 2003

GROUP  
2815 2818

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
HK	5,089,883	02/1982	Sato et al.	257	388	
	5,471,080	11/1985	Sato et al.	257	344	
	5,880,015	03/1999	Mata	438	585	
	5,977,588	11/1999	Crisenze et al.	257	328	
	5,989,987	11/1999	Gardner et al.	438	305	
	5,981,383	11/1999	Lur et al.	438	655	
	8,069,387	05/2000	Gardner	257	344	
✓	8,284,613	09/2001	Subrahmanyam et al.	438	307	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						NO	YES
HK	3-81-38975	02/1988	Japan	257	344	✓	
	3-83-9154	01/1988	Japan	438	158	✓	
	4-2-226727	09/1990	Japan	438	305	✓	
	4-5-21454	01/1993	Japan	H01L	21/338	✓	
	4-5-243281	09/1993	Japan	257	408	✓	
	4-6-280845	09/1994	Japan	H01L	29/784	✓	
✓	3-60-127781	07/1985	Japan	H01L	29/78	✓	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

HK "Spacer Formation on Electrodes with Non-Vertical Sidewalls." IBM Technical Disclosure Bulletin, Nov. 1, 1984, Vol. 27, No. 6.

TDB-ACC-No. NN84113484, pp 3484-88.

EXAMINER: *[Signature]*

DATE CONSIDERED: 2/9/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 602; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.